

# Pecyn Dogfennau Cyhoeddus



**HYSBYSIAD O BENDERFYNIADAU'R PWYLLGOR LLYWODRAETHU AC ARCHWILIO O'R  
CYFARFOD A GYNHALIWYD AR 20 EBRILL 2021**

## CAERPHILLY COUNTY BOROUGH COUNCIL

### NOTICE OF THE DECISIONS FROM THE GOVERNANCE AND AUDIT COMMITTEE MEETING HELD VIA MICROSOFT TEAMS ON TUESDAY 20TH APRIL 2021 AT 2.00 PM

#### PRESENT:

Councillors: M. Adams, E. Aldworth, C. Cuss J. Gale, C. Mann, B. Miles, T. Parry, M. Sargent (Chair), G. Simmonds, J. Simmonds and N. Yates – Lay Member (Vice-Chair)

Cabinet Member Mrs E. Stenner (Finance, Performance and Customer Service)

Officers: R. Edmunds (Corporate Director of Education and Corporate Services), R. Tranter (Head of Legal Services & Monitoring Officer), L. Lane (Head of Democratic Services and Deputy Monitoring Officer), D. Gronow (Acting Internal Audit Manager), L. Lucas (Head of Customer and Digital Services), J. Jones (Information Governance Manager and Data Protection Officer), S. Richards (Head of Education Planning and Strategy), R. Roberts (Business Improvement Manager), A. Southcombe (Finance Manager - Corporate Finance), R. Kedward (Senior Audit Assistant), A. John (Trainee Auditor), R. Barrett (Committee Services Officer), J. Lloyd (Committee Services Officer)

G. Jones (Audit Wales), M. Jones (Audit Wales)

#### APOLOGIES:

Councillors J. Bevan and D. Hardacre, together with S. Harris (Head of Financial Services & S151 Officer)

The decisions and declarations of interest are set out below. For further details please refer to the relevant report.

ITEM	SUBJECT	DECISION	VOTE
2.	Declarations of Interest	L. Lane (Head of Democratic Services and Deputy Monitoring Officer) declared a personal interest as her relative is employed by Audit Wales and occasionally attends the Governance and Audit Committee, but he was not in attendance at this meeting.	N/A
3.	Audit Committee held on 26th January 2021.	It was moved and seconded that the minutes be approved as a correct record and by way of Microsoft Forms and verbal confirmation this was unanimously agreed.	9 For 0 Against 0 Abstain
4.	Special Audit Committee held on 19th March 2021.	RESOLVED that the minutes of the meetings be approved as a correct record.	
5.	Governance and Audit Committee Forward Work Programme.	Subject to the changes as discussed at the meeting, it was moved and seconded that the Forward Work Programme be approved and by way of Microsoft Forms and verbal	11 For 0 Against 0 Abstain

		confirmation this was unanimously agreed.	
6.	Update from Audit Wales.	Report noted.	N/A
7.	2021 Audit Plan - Caerphilly County Borough Council.	Report noted.	N/A
8.	Internal Audit Services: Annual Audit Plan 2021/22.	It was moved and seconded that the minutes be approved as a correct record and by way of Microsoft Forms and verbal confirmation this was unanimously agreed.  RESOLVED that the Internal Audit Services Annual Audit Plan for the 2021/22 financial year be approved.	11 For 0 Against 0 Abstain
9.	Update on Tracking of Agreed Audit Report Recommendations.	It was moved and seconded that these reports be deferred to the next meeting of the Governance and Audit Committee and by a show of hands up was unanimously agreed.	Unanimous
10.	CCBC Response to 'Raising our Game - Tackling Fraud in Wales'.		
11.	Public Interest Test – Exempt Item	The Public Interest Test was considered and by way of Microsoft Forms and verbal confirmation was unanimously agreed.  RESOLVED that the press and public be excluded for consideration of the following item.	11 For 0 Against 0 Abstain
12.	Cyber Resilience in the Public Sector.	Report noted.	N/A

**The Following information items had not been called forward for discussion at the meeting:**

13.	Certification of Grants and Returns 2019-20 - Caerphilly County Borough Council.	Reports noted.	N/A
14.	Officer's Declarations of Gifts and Hospitality October to December 2020.		
15.	Regulation of Investigatory Powers Act 2000.		

**Circulation:**

Published Electronically by 5.00pm on Monday 26<sup>th</sup> April 2021